

SESSIONS

EVENT 1 - BEST PRACTICES FOR CHALLENGING TIMES - 30/03/2021

GAIM Ops Connect

March 30 / April 27 / May 25, 2021

EDT Timezone (UTC -4)

Virtual event series

1-2-1 meetings

8:45am - 9:30am

Best practices for challenging times

Chair's remarks

9:30am - 9:45am

Best practices for challenging times

Participants

Joann Arweiler - Principal, BDO

What teams learnt from Covid

9:45am - 10:30am

Best practices for challenging times

Participants

Katrina Paglia - General Counsel and Chief Compliance Officer, Capstone Investment Advisors

Larry Block - Managing Director, Counsel and Chief Compliance Officer, Island Capital Group LLC

Daniel Kochav - Chief Operating Officer, Tenor Capital Management

Moderator: Michael Fastert - Chief Operating Officer and Chief Legal Officer, TIG Advisors

Morning break

10:30am - 11:00am

Best practices for challenging times

Stories from industry leaders, spotlight on several leaders including these topics:

11:00am - 12:00pm

Best practices for challenging times

-Technology – making efficiencies and challenges throughout my career

Greg Ciaverelli, Partner and COO, PA Capital

-Managing during the pandemic, multiple stakeholder management

Matt Siano, Managing Director, General Counsel, Two Sigma

-Leading through difficult times

Brian Traficante, GC and CCO, York Capital

Participants

Greg Ciaverelli - Partner & Chief Operating Officer, PA Capital

Matthew Siano - Managing Director, General Counsel, Two Sigma

Brian Traficante - Managing Director, General Counsel, Chief Compliance Officer, York Capital

Moderator: William de Cordova - Editor-in-Chief, The Hedge Fund Law Report and The Private Equity Law Report

1-2-1 meetings

12:00pm - 1:15pm

Best practices for challenging times

Roundtable discussions

1:15pm - 2:00pm

Best practices for challenging times

- Crisis comms and ODD
- What is the new face of disaster recovery?
- Communicating effectively with your managers during the pandemic and beyond

Participants

Adam Cohen - Managing Director, Head of Operational Due Diligence, Agility

Rory Cohen - General Counsel, Varadero Capital, L.P.

Brynn Coursey Heegan - Managing Director, Operational Due Diligence, Lyxor Asset Management Inc.

1-2-1 meetings

2:00pm - 3:15pm

Best practices for challenging times

Masterclass

2:00pm - 3:00pm

Best practices for challenging times: Masterclass

- How to build a successful ODD programme
- How to build an integrated risk management programme
- Summary of key points and feedback to group

Participants

Mark Hannoush - Managing Director, Due Diligence & Advisory, Ontario Teachers' Pension Plan

Elena Boeva - U.S. Chief Operating Officer, Aflac Global Investments

Carlos Ferreira - Managing Director, Head of Investment Operations, PAAMCO Prisma

Marc Towers - Founder, Towers Fiduciary Pte. Ltd

Close of masterclass

3:00pm - 3:05pm

Best practices for challenging times: Masterclass

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9:00AM	9:30am - Chair's remarks 9:45am - What teams learnt from Covid	
10:00AM	10:30am - Morning break	
11:00AM	11:00am - Stories from industry leaders, spotlight on several leaders including these topics:	
12:00PM	12:00pm - 1-2-1 meetings	
1:00PM	1:15pm - Roundtable discussions	
2:00PM	2:00pm - 1-2-1 meetings	2:00pm - Masterclass
3:00PM		3:00pm - Close of masterclass

SESSIONS

EVENT 2 - REGULATION AND COMPLIANCE - 27/04/2021

GAIM Ops Connect

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Virtual event series

1-2-1 meetings

8:45am - 9:30am

Regulation and compliance

Chair's remarks

9:30am - 9:40am

Regulation and compliance

Focus on the marketing rule

9:40am - 10:15am

Regulation and compliance

A panel covering the following topics:

- Implementing the marketing rule
- What are the requirements
- The way forward

Participants

Thoreau Bartmann - Senior Special Counsel, IARO, US SEC

Anna Povinelli - Managing Director, Compliance and Regulatory Consulting, Duff & Phelps

Keynote Q&A

10:15am - 10:30am

Regulation and compliance

The ramifications of the Cayman regulations

10:30am - 11:15am

Regulation and compliance

- Reviewing the last year and key developments
- Regulating securities and resources
- How the latest and new measures will impact the industry

Participants

Juliette Maynard - Deputy Head of the Investments and Securities Division, CIMA

Lucy Frew - Partner, Walkers

An update on the AIMA regulatory comparative analysis initiative

11:15am - 11:30am

Regulation and compliance

Participants

Jiří Król - Deputy CEO, Global Head of Government Affairs, The Alternative Investment Management Association Ltd

Focus on crypto and managing cryptocurrency fraud

11:30am - 12:00pm

Regulation and compliance

- What are the latest developments?
- Maintaining accurate reporting and monitoring the market
- What lies ahead for crypto

Participants

Richard Foelber - Chief, Office of Cooperative Enforcement, U.S. Commodity Futures Trading Commission

Matthew Thoresen - Supervisory Special Agent, Economics Crime Unit, Federal Bureau of Investigation

Jennifer McHugh - Senior Special Counsel, Disclosure Review and Accounting Office, Division of Investment Management, U.S. Securities and Exchange Commission

Discussions

12:00pm - 1:00pm

Regulation and compliance

Hosted by industry experts. Join COOs, CFOs, CCOs and ODD Heads to compare notes and ask questions on hot topics.

- Data privacy
- Revisiting the Blaszcak insider trading case - what's the latest?
- Myth-busting crypto – compliance, security and more
- New Cayman regulations
- Impact of LIBOR transition on operations
- Reviewing developments in data analytics
- Outsourcing compliance - rules and best practice
- How far do you go to chase yield?

Participants

Suzan Rose - Senior Advisor, Government and Regulatory Affairs, AIMA

Brett Munster - Founder and Managing Partner, Cognovio Capital

Are we free or slaves to regulatory fear?

1:00pm - 1:30pm

Regulation and compliance

1-2-1 meetings

1:30pm - 2:30pm

Regulation and compliance

Close of event

2:30pm - 2:35pm

Regulation and compliance

SCHEDULE

EVENT 2 - REGULATION AND COMPLIANCE - 27/04/2021

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10:00AM	10:15am - Keynote Q&A 10:30am - The ramifications of the Cayman regulations
11:00AM	11:15am - An update on the AIMA regulatory comparative analysis initiative 11:30am - Focus on crypto and managing cryptocurrency fraud
12:00PM	12:00pm - Discussions
1:00PM	1:00pm - Are we free or slaves to regulatory fear? 1:30pm - 1-2-1 meetings
2:00PM	2:30pm - Close of event

SESSIONS

EVENT 3 - FOCUS ON PE DEVELOPMENTS - 25/05/2021

GAIM Ops Connect

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Virtual event series

1-2-1 meetings

8:45am - 9:30am

Focus on PE developments

Chair's remarks

9:30am - 9:45am

Focus on PE developments

Best practice for private funds

9:45am - 10:30am

Focus on PE developments

- What we're seeing in PE ODD
- What is the right governance structure for a PE fund
- PE and ODD - what can go wrong

Participants

Beste Portnoff - Head of Operational Due Diligence, Bessemer Trust

Stories from the leaders. Hear from industry leaders on topics including

10:30am - 11:40am

Focus on PE developments

- Operating models and PE
- Partnering successes and lessons learned
- The power of storytelling from brand building
- Risk management before and after the pandemic

Participants

Nicole Macarchuk - Chief Operating Officer, General Counsel and Managing Director, Angel Island Capital

Per Welinder - General Partner, Welinder Shi Capital

Susanne Clark - Senior Managing Director and GC, Centerbridge

Matt Klein - Principal, Arrowroot Capital

Discussions

11:40am - 12:25pm

Focus on PE developments

Roundtable topics are likely to focus on the following areas:

1. What's the story with SPACs?
2. Update on investors' need for transparency and evolving market standards
3. Update on regulation for VCs
4. ODD - perspectives from VCs (covering seed stage and later stage)
5. Best practice in operations for VCs
6. ESG reporting for private equity firms - an update

Participants

Lorelei Graye - Founder and President, Adopting Data Standards Initiative

Christine Campney - Senior Manager, Investment Finance, Private Investments, Canada Pension Plan Investment Board (CPPIB)

Sarah LaRose - Manager, Private Investments Data, Global Investment Services, Cambridge Associates

Ivan Nikkhoo - Managing Partner, Navigate Ventures

Kurt Ramirez - Founding Partner, Nine Four Ventures

Sanjay Reddy - Co-founding Partner, Unlock Venture Partners

Priscilla Guevara - Head of Investor Relations, Science Inc.

1-2-1 meetings

12:25pm - 12:40pm

Focus on PE developments

Stories from the leaders. Hear from industry leaders on topics including:

12:40pm - 1:40pm

Focus on PE developments

- Leadership
- Managing external stakeholders
- Building successful relationships

Participants

Justin Benschhoff - Principal, Caltius Equity Partners

Petra Griffith - Managing Director, Wedbush Ventures

Sean Madnani - Founder & CEO, Twist Capital

Cybersecurity applied to PE

1:40pm - 2:10pm

Focus on PE developments

- Securing the right IT infrastructure
 - How are they adapting to monitoring
 - Ensuring full transparency
-

Showcase - technology systems for PE firms

2:10pm - 2:55pm

Focus on PE developments

Close of event

2:55pm - 3:00pm

Focus on PE developments

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1:00PM	1:40pm - Cybersecurity applied to PE
2:00PM	2:10pm - Showcase - technology systems for PE firms 2:55pm - Close of event