

SESSIONS

EFFECTIVE CASS RECORD KEEPING AND RECONCILIATIONS -
27/06/2018

Effective CASS record keeping and
reconciliations

27 June 2019
London

Registration & Refreshments

09:00 - 09:30

Morning session I

09:30 - 10:45

- CASS record keeping and reconciliations – building an insolvency mindset
 - Internal client money reconciliations – what the CASS rules actually require
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Refreshments and networking break

10:45 - 11:00

Morning Session II

11:00 - 12:20

- Intra day funding – developing a sensible and compliant approach
 - Record keeping errors – what constitutes a breach?
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Lunch

12:20 - 13:20

Afternoon Session I

13:20 - 14:55

- Custody record keeping – how does it work?
 - Custody reconciliations – resolving some of the practical issues
 - The “traded v settled” debate – what does it mean in practice?
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Refreshments and networking break

14:55 - 15:15

Afternoon Session II

15:15 - 16:30

- Custody shortfalls – to fund or not to fund?
 - Reconciliation break resolution – the road to continuous improvement
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End of Workshop

16:30 - 16:35

SCHEDULE

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TIME	
09:00	09:00 - Registration & Refreshments 09:30 - Morning session I
10:00	10:45 - Refreshments and networking break
11:00	11:00 - Morning Session II
12:00	12:20 - Lunch
13:00	13:20 - Afternoon Session I
14:00	14:55 - Refreshments and networking break
15:00	15:15 - Afternoon Session II
16:00	16:30 - End of Workshop